

The CISI's Qualifications and Membership Framework

UK Qualifications Levels	Foundation		Qualifying			Qualifying		Advanced	Postgraduate professional		
	2	3	3			4		5	6		7
Wealth / Retail			International Certificate in Wealth & Investment Management			Certificate in International Advanced Wealth Management	Investment Advice Diploma		Certificate in Pension Transfers & Planning Advice ⁴	Certificate in Private Client Investment Advice & Management	Chartered Wealth Manager Qualification (Diploma in Wealth Management)
Financial Planning		The Foundation Qualification - Introduction to Investment International Introduction to Investment				Certificate in Paraplanning	Investment Advice Diploma (Financial Planning & Advice)		Certificate in Pension Transfers & Planning Advice ⁴	Certificate in Advanced Financial Planning (Route to CFP™)	CERTIFIED FINANCIAL PLANNER (CFP™) (Diploma in Advanced Financial Planning)
Compliance / Risk	Fundamentals of Financial Services	Schools & Colleges	Combating Financial Crime	Global Financial Compliance	Risk in Financial Services			Certificate in Climate Risk	Diploma in Investment Compliance		
Operations		Certificate for Introduction to Securities & Investment	Investment Operations Certificate (IOC)	Technology in Investment Management		Managing Operational Risk in Financial Institutions		Advanced Certificate in Global Securities Operations	Diploma in Investment Operations		
Capital Markets / Corporate Finance			Capital Markets Programme	Certificate in Corporate Finance		Level 4 Certificate in Investment Management			Diploma in Capital Markets	Diploma in Corporate Finance	
Islamic Finance	Fundamentals of Islamic Banking & Finance		Islamic Finance Qualification (IFQ)								
Green, Sustainable & Responsible Finance						Green & Sustainable Finance Certificate		Climate Risk Certificate			
European Qualifications Framework (EQF) ²	3	4	4			5		5	6		7

1. This row is a guide only. Qualifications which are regulated by Ofqual and appear on the Regulated Qualifications Framework (RQF) are marked*.

2. The EQF is an overarching qualifications reference framework that links the qualifications of different countries together. Qualifications that are appropriate for the European Securities and Markets Authority (ESMA) guidelines on knowledge and competence are marked ⁺.

3. Gap-fill required for RDR/RFA.

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