CISI
CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

RECOGNISED EXEMPTION REQUEST FORM

Candidates who are seeking an exemption from a unit of a CISI qualification must familiarise themselves with the rules in the **CISI Exemptions Policy** before applying.

Candidate No: *if known*

PERSONAL DETAILS

Surname:

Title: *eg Mr/Mrs/ Miss/Ms*

Forename(s):

Private address:

Postcode:

Home telephone:

Date of birth:

EMPLOYMENT DETAILS

Name of firm:

Firm's address:

Postcode:

Position held:

Nationality:

Daytime telephone:

Email address:

EXEMPTION, FEES AND EVIDENCE

I am applying for an exemption from the following module (please tick):

		Awarding Body	Year Awarded
Introduction to Securities & Investment	☐		
UK Financial Regulation	☐		
UK Regulation & Professional Integrity	☐		
Local Regulatory Paper	☐		
Investment, Risk and Taxation	☐		
Fin. Reporting & Fin. Statement Analysis	☐		
Financial Markets	☐		
Total			

PAYMENT: *Please fill out the appropriate information* **Account Firms:** ☐ *Please invoice.*

Invoice recipient name: _____ Job title: _____ email: _____

Payment by Cheque: Cheques should be made payable to 'Chartered Institute for Securities & Investment' and crossed 'Account Payee only'. ☐ *Cheque attached*

Payment by Card: If you wish to pay by credit/debit card, please can you provide a valid email address so that the payment link can be emailed to you.

☐☐

The exemption fee is outlined on the **price list**

I can confirm the following:

a) I have enclosed certified copy of my certificate and/or learning statement ☐

b) Email sent directly from Awarding Body to **exemptions@cisi.org** ☐

DECLARATION: "I declare that the information I have supplied is complete and correct. In considering my application for exemption, I agree that I will comply with and be bound by the CISI Exam Regulations and all applicable qualifications policies and procedures. I consent to the Institute confirming these details with the relevant issuing body".

Candidate's Signature ..... **Date:** .....

Please complete and return to:

Exemptions Department, Chartered Institute for Securities & Investment, 20 Fenchurch Street, London EC3M 3BY
or email: **exemptions@cisi.org**